



TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

VOL. 62

Caroni, Trinidad, Tuesday 14th February, 2023—Price \$1.00

No. 26

179

APPOINTMENT AS A PUISNE JUDGE OF (SUPREME COURT), JUDICIARY

IT IS HEREBY NOTIFIED for general information that, under the provisions of section 104(1) of the Constitution of the Republic of Trinidad and Tobago, that Her Excellency the President, acting in accordance with the advice of the Judicial and Legal Service Commission, has appointed Mr. WESTMIN R. A. JAMES as a Puisne Judge of the Supreme Court of Judicature with effect from the 9th February, 2023.

C. JACKMAN-WALDRON
*Secretary to Her Excellency
President*

9th February, 2023.

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REVOCATION OF APPOINTMENT OF A PRIVATE WAREHOUSE

(Customs Act, Chap. 78:01)

IN EXERCISE of the powers conferred upon the Comptroller of Customs and Excise under the Customs Act, Chapter 78:01, I, Yasmin Harris, Acting Comptroller of Customs and Excise, acting under the provisions of Section 263 (2) of the said Act, do hereby revoke the appointment, published in the Trinidad and Tobago Gazette as Notice No. 2330 dated October 19th 2000, of the place specified in the schedule hereunder to be a Private Warehouse for the storage, pending entry for export, of the following goods as shall be warehoused by or in the Private Warehouse of Messrs. Caribbean Partnership Company Limited: Spirits, Tobacco, Cigars, Perfumery, Bitters, Beer and other such goods as I may have subsequently approved.

SCHEDULE

An enclosed area measuring 5.8 metres in length and 4.5 metres in width comprising a total area of 26.1 square metres situate in the Cruise Ship Complex, Wrightson Road, Port-of-Spain. This area, described as Shop Unit No. 27, is bounded on the south by the sea, on the west by the Port Authority of Trinidad and Tobago Transit Shed No. 2, on the north by a car park owned by the Port Authority and on the east by a building occupied by the Immigration Department, Ministry of National Security.

Y. HARRIS
Acting Comptroller of Customs and Excise

31st January, 2023.



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of By-Law 39(1)
of the Securities (General) By-Laws, 2015**

By

Sagicor Life Inc

ORDER OF THE COMMISSION

Dated this 19th day of December, 2022

WHEREAS By-Law 39(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”) requires a Broker-Dealer to file its Audited Annual Comparative Financial Statements (“Audited Financials”) with the Commission within the prescribed timeframe;

AND WHEREAS Sagicor Life Inc (“the Respondent”), filed its Audited Financials for the financial year ended December 31, 2020 with the Commission, past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of By-Law 39(1) of the By-Laws, by failing to file the said Audited Financials within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of By-Law 39(1) of the By-Laws and entered into a settlement agreement with the Staff (“the Settlement Agreement”);


AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay the sum of Twenty-Seven Thousand Trinidad and Tobago Dollars (TT\$27,000.00) to the Commission within twenty-eight (28) days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated the 2nd November 2022, and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Sagicor Life Inc (“the Respondent”) has contravened By-Law 39(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the total sum of Twenty-Seven Thousand Trinidad and Tobago Dollars (TT\$27,000.00), to the Commission within twenty-eight days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette.


Neera Lakhan
Corporate Secretary


Enid Zephyrine
Chairman



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of By-Law 28(1)
of the Securities (General) By-Laws, 2015**

By

Scotia Investments (Trinidad and Tobago) Limited

ORDER OF THE COMMISSION

Dated this 19th day of December, 2022

WHEREAS By-Law 28(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”) requires a Broker-Dealer to file its Quarterly Capital Requirements with the Commission within the prescribed timeframe;

AND WHEREAS Scotia Investments (Trinidad and Tobago) Limited (“the Respondent”) filed its Quarterly Capital Requirements for the quarterly period ended January 31, 2021, with the Commission, past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of By-Law 28(1) of the By-Laws by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of By-Law 28(1) of the By-Laws and entered into a Settlement Agreement with the Staff (“the Settlement Agreement”);


AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay the total sum of Thirty-Two Thousand Four Hundred Trinidad and Tobago Dollars (TT\$32,400.00), to the Commission, within twenty-eight (28) days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated the 18th November 2022, and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

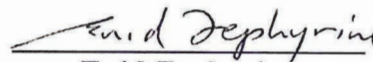
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IT IS HEREBY ORDERED THAT:

1. Scotia Investments (Trinidad and Tobago) Limited (“the Respondent”) has contravened By-Law 28(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Thirty-Two Thousand, Four Hundred Trinidad and Tobago Dollars (TT\$32,400.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette.



Neera Laxhan
Corporate Secretary



Enid Zephyrine
Chairman



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago and By-Law 48(1) of the Securities (General) By-Laws, 2015

By

Water and Sewerage Authority of Trinidad and Tobago

ORDER OF THE COMMISSION

Dated this 19th day of December, 2022

WHEREAS Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-laws, 2015 (“the By-laws”) impose certain obligations on reporting issuers.

AND WHEREAS Water and Sewerage Authority of Trinidad and Tobago (“the Respondent”), filed its Audited Annual Comparative Financial Statements for the financial years ended September 30, 2016, 2017 & 2018, with the Commission, past the respective due dates.

AND WHEREAS the Respondent, as at June 30, 2022, failed to file its Audited Annual Comparative Financial Statements for the financial years ended September 30, 2019, 2020 & 2021 with the Commission;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 65(1) of the Act and By-Law 48(1) of the By-Laws by failing to file the aforementioned documents with the Commission within the prescribed timeframes;

AND WHEREAS the Respondent acknowledged that it was in contravention Section 65(1) of the Act and By-Law 48(1) of the By-Laws and entered into a settlement agreement with the Staff (“the Settlement Agreement”);

AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay to the Commission the sum of One Million One Hundred and Thirty-Nine Thousand Six Hundred Trinidad and Tobago Dollars (TT\$1,139,600.00) within two (2) months of the making of this Order.

AND UPON the Board of Commissioners considering the terms of the Settlement Agreement dated the 15th November 2022 and being satisfied that no hearing is required in accordance with Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Water and Sewerage Authority (“the Respondent”) has contravened Section 65(1) of the Act and By-Law 48(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the sum of One Million One Hundred and Thirty-Nine Thousand Six Hundred Trinidad and Tobago Dollars (TT\$1,139,600.00) to the Commission within two (2) months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago



Neera Lakhan
Corporate Secretary



Enid Zephyrine
Chairman



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 63(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago & By-Law 47(c) of the Securities (General) By-Laws, 2015

By

Water and Sewerage Authority of Trinidad and Tobago

ORDER OF THE COMMISSION

Dated this 19th day of December, 2022

WHEREAS Section 63(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 47(c) of the Securities (General) By-laws, 2015 (“the By-laws”) impose certain obligations on reporting issuers with respect to prescribed events.

AND WHEREAS Water and Sewerage Authority of Trinidad and Tobago (“the Respondent”), filed its Annual Reports for the financial years September 30, 2015, 2016, 2017 & 2018 with the Commission, past the respective due dates.

AND WHEREAS as at June 30, 2022, the Respondent failed to file its Annual Reports for the financial years ended September 30, 2019, 2020 & 2021 with the Commission;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws by failing to file the aforementioned documents with the Commission within the prescribed timeframe.

AND WHEREAS the Respondent acknowledged that it was in contravention Section 63(a) of the Act and By-Law 47(c) of the By-Laws and entered into a settlement agreement with the Staff (“the Settlement Agreement”).

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AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay the sum of One Million Four Hundred and Seventy-Two Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$1,472,800.00) to the Commission within two (2) months of the making of this Order.

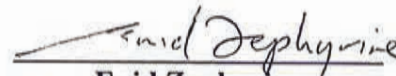
AND UPON the Board of Commissioners considering the terms of the Settlement Agreement dated the 15th November 2022 and being satisfied that no hearing is required in accordance with Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Water and Sewerage Authority of Trinidad and Tobago (“the Respondent”) has contravened Section 63(a) of the Act and By-Law 47(c) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the sum of One Million Four Hundred and Seventy-Two Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$1,472,800.00) to the Commission within two (2) months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Neera Laxhan
Corporate Secretary



Enid Zephyrine
Chairman



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of By-Law 40
of the Securities (General) By-Laws, 2015**

By

B3V Holdings Limited

ORDER OF THE COMMISSION

Dated this 14th day of December, 2022

WHEREAS By-Law 40 of the Securities (General) By-Laws, 2015 (“the By-Laws”) requires a Broker-Dealer to file its Interim Financial Statement with the Commission within the prescribed timeframe;

AND WHEREAS B3V Holdings Limited (“the Respondent”) filed its Interim Financial Statement for the financial period ended June 30, 2022, with the Commission past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of By-Law 40 of the By-Laws by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of By-Law 40 of the By-Laws and entered into a Settlement Agreement with the Staff (“the Settlement Agreement”);

AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay the total sum of Six Thousand Four Hundred Trinidad and Tobago Dollars (TT\$6,400.00) to the Commission within twenty-eight (28) days of the making of this Order;

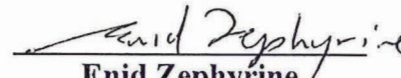
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated the 2nd November 2022, and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. B3V Holdings Limited (“the Respondent”) has contravened By-Law 40 of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the total sum of Six Thousand, Four Hundred Trinidad and Tobago Dollars (TT\$6,400.00) to the Commission, within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Neera Laxhan
Corporate Secretary



Enid Zephyrine
Chairman



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of By-Law 28(1)
of the Securities (General), By-Laws 2015**

By

Scotiabank Trinidad and Tobago Limited

ORDER OF THE COMMISSION

Dated this 19th day of December, 2022

WHEREAS By-Law 28(1) of the Securities (General), By-Laws 2015 (“the By-Laws”) requires a Broker-Dealer to file its Quarterly Capital Requirements with the Commission within the prescribed timeframe;

AND WHEREAS Scotiabank Trinidad and Tobago Limited (“the Respondent”) filed its Quarterly Capital Requirements for the quarterly period ended January 31, 2021 with the Commission past the statutory due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of By-Law 28(1) of the By-Laws by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of By-Law 28(1) of the By-Laws and entered into a Settlement Agreement with the Staff (“the Settlement Agreement”);

AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay to the Commission the total sum of Thirty-Two Thousand Four Hundred Trinidad and Tobago Dollars (TT\$32,400.00) within twenty-eight (28) days of the making of this Order;

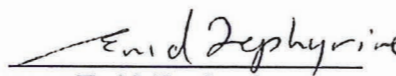
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated the 18th November 2022 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Scotiabank Trinidad and Tobago Limited (“the Respondent”) has contravened By-Law 28(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of Thirty-Two Thousand, Four Hundred Trinidad and Tobago Dollars (TT\$32,400.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette.



Neera Lakhan
Corporate Secretary



Enid Zephyrine
Chairman



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 56(4) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago and By-Law 53(3) of the Securities (General) By-Laws, 2015

By

Water and Sewerage Authority

ORDER OF THE COMMISSION

Dated this 19th day of December, 2022

WHEREAS Section 56(4) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 53(3) of the Securities (General) By-laws, 2015 (“the By-laws”) impose certain obligations on reporting issuers with respect to prescribed events;

AND WHEREAS Water and Sewerage Authority (“the Respondent”), filed a Form 6 Notification, with the Commission, past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 56(4) of the Act and By-Law 53(3) of the By-Laws by failing to file the aforementioned document with the Commission within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 56(4) of the Act and By-Law 53(3) of the By-Laws and entered into a settlement agreement with the Staff (“the Settlement Agreement”);

AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay the sum of Forty Thousand Two Hundred Trinidad and Tobago Dollars (TT\$40,200.00) to the Commission, within two months of the making of this Order;

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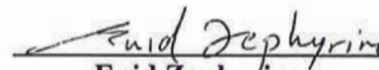
AND UPON the Board of Commissioners considering the terms of the Settlement Agreement dated the 15th November 2022 and being satisfied that no hearing is required in accordance with Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Water and Sewerage Authority (“the Respondent”) has contravened Section 56(4) of the Act and By-Law 53(3) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Forty Thousand Two Hundred Trinidad and Tobago Dollars (TT\$40,200.00) within two months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Neera Lakhan
Corporate Secretary



Enid Zephyrine
Chairman



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Sections 64(1)(a), (b) and (c) of the Securities Act,
Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago**

By

Water and Sewerage Authority

ORDER OF THE COMMISSION

Dated this 19th day of December, 2022

WHEREAS Sections 64(1)(a), (b) & (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) impose certain obligations on reporting issuers with respect to material changes;

AND WHEREAS Water and Sewerage Authority (“the Respondent”), filed and published the following documents with the Commission, in respect of a material change, past the respective due dates:

- i. Form No. 10 - Material Change Reports (“MCR”);
- ii. Copies of the notices of material changes in two (2) daily newspapers; and
- iii. Copies of the notices published in the newspapers.

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Sections 64(1)(a), (b) & (c) of the Act by failing to file and publish the aforementioned documents with the Commission within the prescribed timeframes.

AND WHEREAS the Respondent acknowledged that it was in contravention of Sections 64(1)(a), (b) & (c) of the Act and entered into a settlement agreement with the Staff (“the Settlement Agreement”).

AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay to the Commission the sum of Two Hundred and Fifty-Six Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$256,800.00) within two (2) months of the making of this Order.

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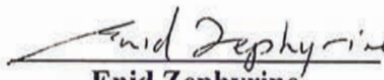
AND UPON the Board of Commissioners considering the terms of the Settlement Agreement dated the 15th November 2022 and being satisfied that no hearing is required in accordance with Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. The Water and Sewerage Authority (“the Respondent”) has contravened Sections 64(1)(a), (b) & (c) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Two Hundred and Fifty-Six Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$256,800.00) within two (2) months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Neera Laxhan
Corporate Secretary



Enid Zephyrine
Chairman