



TRINIDAD AND TOBAGO GAZETTE (EXTRAORDINARY)

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112

SUPPLEMENT TO THIS ISSUE

THE DOCUMENT detailed hereunder has been issued and is published as a Supplement to this issue of the *Trinidad and Tobago Gazette*:

Legal Supplement Part B—

Carnival (Prohibition of Glass Bottles), Regulations, 2024—(Legal Notice No. 21 of 2024).

113

APPOINTMENT TO PERFORM THE FUNCTIONS CONFERRED UPON THE PRIME MINISTER

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, acting in accordance with the advice of the Prime Minister, in exercise of the power vested in her by section 78(1) of the Constitution of the Republic of Trinidad and Tobago, has authorised the HONOURABLE COLM IMBERT, a member of the House of Representatives who is a Minister, to perform the functions conferred upon the Prime Minister, other than the functions conferred by section 78(2) of the Constitution with effect from the morning of 28th January, 2024 and continuing during the absence from Trinidad and Tobago of the said Dr. the Honourable KEITH CHRISTOPHER ROWLEY, M.P., in addition to the discharge of his normal duties and those of the Minister of Energy and Energy Industries.

C. JACKMAN-WALDRON
*Secretary to Her Excellency
the President*

26th January, 2024.

114

APPOINTMENT TO ACT AS MINISTER OF NATIONAL SECURITY

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, acting in accordance with the advice of the Prime Minister, in exercise of the power vested in her by section 79(2) of the Constitution of the Republic of Trinidad and Tobago, has appointed the MARVIN GONZALES, a member of the House of Representatives who is a Minister to act in the office of the Honourable FITZGERALD HINDS, Minister of National Security with effect from 28th January, 2024 and continuing during the absence from Trinidad and Tobago of the said the Honourable Fitzgerald Hinds M.P., in addition to the discharge of his normal duties.

C. JACKMAN-WALDRON
*Secretary to Her Excellency
the President*

26th January, 2024.

115

APPOINTMENT TO ACT AS MINISTER OF FOREIGN AND CARICOM AFFAIRS

IT IS HEREBY NOTIFIED for general information that Her Excellency the President, acting in accordance with the advice of the Prime Minister, in exercise of the power vested in her by section 79(2) of the Constitution of the Republic of Trinidad and Tobago, has appointed PENNELOPE BECKLES, a member of the house of representatives, to act in the Office of Senator the Honourable Dr. AMERY BROWNE, Minister of Foreign and CARICOM Affairs, with effect from 28th January, 2024 and continuing during the absence from Trinidad and Tobago of the said Senator the Honourable Dr. Amery Browne, in addition to the discharge of her normal duties.

C. JACKMAN-WALDRON
*Secretary to Her Excellency
the President*

26th January, 2024.



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

By

Endeavour Holdings Limited

ORDER OF THE COMMISSION

Dated this ^{21st} day of *July* 2023

WHEREAS Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) imposes certain obligations on Reporting Issuers with respect to the filing of Interim Financial Statements;

AND WHEREAS Endeavour Holdings Limited (“the Respondent”) filed its Interim Financial Statements for the interim period ended July 31, 2020, with the Commission past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file its Interim Financial Statements with the Commission, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of the Act and entered into a settlement agreement dated July 10, 2023, with the Staff (“the Settlement Agreement”);

AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay the sum of One Thousand Five Hundred Trinidad and Tobago Dollars (TT\$1,500.00) to the Commission within twenty-eight (28) days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Endeavour Holdings Limited (“the Respondent”) has contravened Section 66(1) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the total sum of One Thousand Five Hundred Trinidad and Tobago Dollars (TT\$1,500.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two (2) daily newspapers of general circulation in Trinidad and Tobago.



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Imtiaz Hosein
Deputy Chairman



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Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of the Contravention of Section 61(2) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

By

L.J. Williams Limited

ORDER OF THE COMMISSION

Dated this ^{4th} 27 day of July, 2023

WHEREAS Section 61(2) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”), imposes certain obligations on reporting issuers;

AND WHEREAS L.J. Williams Limited (“the Respondent”), filed its Revised Registration Statement for the financial year ended March 31, 2023, with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date;

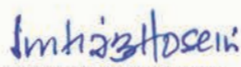
AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 61(2) of the Act, by failing to file the said Revised Registration Statement within the prescribed timeframe;


AND WHEREAS the Respondent acknowledged that it was in contravention of Section 61(2) of the Act and agreed to pay the consequential administrative fine of Fourteen Thousand Trinidad and Tobago Dollars (TT\$14,000.00);

AND UPON the Board of Commissioners considering the submissions by the Staff and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. L.J. Williams Limited (“the Respondent”) has contravened Section 61(2) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Fourteen Thousand Trinidad and Tobago Dollars (TT\$14,000.00), within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.


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Imtiaz Hosein
Deputy Chairman


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Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 65(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and By-Law 48(1) of the Securities (General) By-Laws, 2015

By

Endeavour Holdings Limited

ORDER OF THE COMMISSION

Dated this ^{4th} 21 day of July, 2023

WHEREAS Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws, 2015 (“the By-Laws”) require a Reporting Issuer to file its Audited Annual Comparative Financial Statements with the Commission within the prescribed timeframe;

AND WHEREAS Endeavour Holdings Limited (“the Respondent”) filed its Audited Annual Comparative Financial Statements for the financial year ended April 30, 2020 with the Commission past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 65(1) of the Act and By-Law 48(1) of the By-Laws by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of the Act and the By-Laws and entered into a settlement agreement dated July 10, 2023, with the Staff (“the Settlement Agreement”);

AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay to the Commission the sum of Four Thousand Four Hundred Trinidad and Tobago Dollars (TT\$4,400.00) within twenty-eight (28) days of the making of this Order;

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Endeavour Holdings Limited (“the Respondent”) has contravened Section 65(1) of the Act and By-Law 48(1) of the Securities (General) By-Laws 2015;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Four Thousand Four Hundred Trinidad and Tobago Dollars (TT\$4,400.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



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Imtiaz Hosein
Deputy Chairman



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Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 64(1)(a) & (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

By

MPC Caribbean Clean Energy Limited

ORDER OF THE COMMISSION

Dated this ^{4th} 27 day of July, 2023

WHEREAS sections 64(1)(a) & (c) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”), impose certain obligations on Reporting Issuers with respect to material changes in their affairs;

AND WHEREAS MPC Caribbean Clean Energy Limited (“the Respondent”), filed its Form 10 - Material Change Report (“Form No. 10 Notification”) and copies of the actual Notice published in the newspapers (“the Notice”) with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) past the respective due dates.

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of sections 64(1)(a) & (c) of the Act by failing to file the said Form No. 10 Notification and copies of the Notice with the Commission within the prescribed timeframes;

AND WHEREAS the Respondent failed to acknowledge or respond to correspondence sent to it by the Staff in relation to this matter;

AND UPON the Board of Commissioners considering the submissions by the Staff of the Commission at a meeting held on the 27th July 2023 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. MPC Caribbean Clean Energy Limited (“the Respondent”) has contravened sections 64(1)(a) & (c) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the sum of One Hundred and Twenty-Four Thousand Trinidad and Tobago Dollars (TT\$124,000.00) to the Commission within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



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Imtiaz Hosein
Deputy Chairman



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Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of By-Law 40(1)
of the Securities (General) By-Laws, 2015**

By

NCB Capital Markets (Barbados) Limited

ORDER OF THE COMMISSION

Dated this ^{4th} 21 day of *July*, 2023.

WHEREAS By-Law 40(1) of the Securities (General), By-Laws 2015 (“the By-Laws”) requires a Broker Dealer to file its Half Yearly Interim Financial Statements with the Commission within the prescribed timeframe;

AND WHEREAS NCB Capital Markets (Barbados) Limited (“the Respondent”) filed its Half Yearly Interim Financial Statements for the financial period ended March 31, 2022, with the Commission, past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of By-Law 40(1) of the By-Laws by failing to file the aforementioned documents within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention the By-Laws and entered into a Settlement Agreement dated June 27, 2023, with the Staff (“the Settlement Agreement”);

AND WHEREAS pursuant to the Settlement Agreement which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay the total sum of Ninety-One Thousand Two Hundred Trinidad and Tobago Dollars (TT\$91,200.00) to the Commission within twenty-eight (28) days of the making of this Order.

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. NCB Capital Markets (Barbados) Limited (“the Respondent”) has contravened By-Law 40(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the total sum of Ninety-One Thousand Two Hundred Trinidad and Tobago Dollars (TT\$91,200.00) to the Commission within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two (2) daily newspapers of general circulation in Trinidad and Tobago.



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Imtiaz Hosein
Deputy Chairman



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Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contraventions of Section 63(a) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and By-Law 47(c) of the Securities (General) By-Laws 2015

By

National Infrastructure Development Company Limited

ORDER OF THE COMMISSION

Dated this th 27 day of July, 2023

WHEREAS Section 63(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 47(c) of the Securities (General) By-Laws 2015 (“the By-Laws”) require a Reporting Issuer to file its Annual Reports with the Commission, within the prescribed time frame;

AND WHEREAS National Infrastructure Development Company Limited (“the Respondent”), filed its Annual Report for the financial year ended September 30, 2018, with the Commission, past the due date;

AND WHEREAS the Respondent as at February 28, 2023 failed to file its Annual Reports for the financial years ended September 30, 2019, September 30, 2020; September 30, 2021; and September 30, 2022 with the Commission;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws by failing to file the aforementioned documents within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws and entered into a settlement agreement with the Staff (“the Settlement Agreement”);

AND WHEREAS pursuant to the Settlement Agreement which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay the sum of One Million Six Hundred and Sixty-Three Thousand Two Hundred Trinidad and Tobago Dollars (TT\$1,663,200.00) to the Commission within ninety (90) days of the making of this Order;


AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated May 22, 2023 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. National Infrastructure Development Company Limited (“the Respondent”) has contravened Section 63(a) of the Act and By-Law 47(c) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the total sum of One Million Six Hundred and Sixty-Three Thousand Two Hundred Trinidad and Tobago Dollars (TT\$1,663,200.00) to the Commission within ninety days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two (2) daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Deputy Chairman



Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contraventions of Section 65(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and By-Law 48(1) of the Securities (General) By-Laws 2015

By

National Infrastructure Development Company Limited

ORDER OF THE COMMISSION

Dated this ^{4th} 27 day of July 2023

WHEREAS Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws 2015 (“the By-Laws”) require a Reporting Issuer to file its Audited Annual Comparative Financial Statements with the Commission, within the prescribed time frame;

AND WHEREAS National Infrastructure Development Company Limited (“the Respondent”), filed its Audited Annual Comparative Financial Statements (“Audited Financials”) with the Commission for the financial year ended September 30, 2018, past the due date of December 31, 2018;

AND WHEREAS the Respondent as at February 28, 2023, failed to file its Audited Financials with the Commission for the financial years ended September 30, 2019, September 30, 2020, September 30, 2021 and September 30, 2022 by the required dues dates of December 30, 2019; December 29, 2020; December 29, 2021 and December 29, 2022;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 65(1) of the Act and By-Law 48(1) of the By-Laws by failing to file the aforementioned documents within the prescribed timeframe;

AND WHEREAS the Respondent agreed to a settlement and entered into a settlement agreement with the Staff (“the Settlement Agreement”); and the Settlement Agreement was approved by the Settlement Panel and the Board of Commissioners;

AND WHEREAS pursuant to the Settlement Agreement the Respondent shall pay the sum of One Million Seven Hundred and Twenty Thousand Eight Hundred Trinidad and Tobago Dollars (TT\$1,720,800.00) to the Commission within three months of the making of this Order;

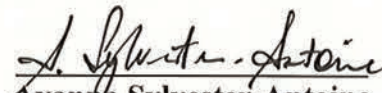
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated the **May 22, 2023** and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. National Infrastructure Development Company Limited (“the Respondent”) has contravened Section 65(1) of the Act and By-Law 48(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the total sum of One Million Seven Hundred and Twenty Thousand Eight Hundred Dollars Trinidad and Tobago Dollars (TT\$1,720,800.00) to the Commission within three months of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two (2) daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Deputy Chairman



Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 65(1) of the Securities Act Chapter 83:02 and By-Law 48(1) of the Securities (General) By-Laws, 2015 of the Laws of the Republic of Trinidad and Tobago

By

Capital Markets Elite Group (Trinidad and Tobago) Limited

ORDER OF THE COMMISSION

Dated this 18th day of December, 2023

WHEREAS Section 65(1) of Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“**the Act**”) and By-Law 48(1) of the By-Laws of the Securities (General) By-Laws, (“**the By-Laws**”) impose certain obligations on registrants with respect to the filing of Audited Annual Comparative Financial Statements (“**AACFS**”);

AND WHEREAS Capital Markets Elite Group (Trinidad and Tobago) Limited (“**the Respondent**”) filed its AACFS for the period ended May 31, 2023, with the Commission past the due date of September 14, 2023;

AND WHEREAS staff of the Commission (“**the Staff**”) advised the Respondent that it was in contravention of Section 65(1) of the Act and By-Law 48(1) of the By-Laws by failing to file the aforementioned AACFS with the Commission, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 65(1) of the Act and By-Law 48(1) of the By-Laws by filing the aforementioned AACFS with the Commission past the said due date and agreed to pay the consequential administrative fine;

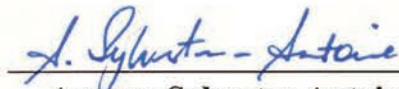
AND UPON the Board of Commissioners considering the submissions in this matter and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

1. Capital Markets Elite Group (Trinidad and Tobago) Limited (“the Respondent”) has contravened Section 65(1) of the Act and By-Law 48(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Sixteen Thousand Trinidad and Tobago dollars (TT\$16,000.00) within twenty-eight days of making this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of the Contravention of Section 67(1) of the Securities Act Chapter 83:02
of the Laws of the Republic of Trinidad and Tobago**

By

Endeavour Holdings Limited

ORDER OF THE COMMISSION

Dated this 18th day of December, 2023

WHEREAS Section 67(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) require a Reporting Issuer to obtain approval from the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) before publishing a Notice in the newspapers advising holders of its securities of the availability on its website of any document or report or statement;

AND WHEREAS Endeavour Holdings Limited (“the Respondent”), published a Notice in the Trinidad Guardian and the Trinidad and Tobago Newsday newspapers on July 2, 2022, advising its Security Holders of the availability of its Audited Financial Statements for the year ended April 30, 2022, without obtaining approval from the Commission prior to the publication;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 67(1) of the Act by publishing a Notice in the newspapers on July 2, 2022, without the prior approval of the Commission pursuant to Section 67(2)(c) of the Act;

AND WHEREAS the Respondent acknowledged that it was in contravention Section 67(1) of the Act and entered into a settlement agreement with the Staff (“the Settlement Agreement”);

AND WHEREAS pursuant to the Settlement Agreement, which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay to the Commission the sum of Forty-Five Thousand Trinidad and Tobago Dollars (TT\$45,000.00) within twenty-eight (28) days of the making of this Order;

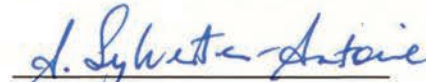
AND UPON the Board of Commissioners considering the terms of the Settlement Agreement dated August 29, 2023 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Endeavour Holdings Limited (“the Respondent”) has contravened Section 67(1) of the Act;
2. Pursuant to Section 156(1) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Forty-Five Thousand Trinidad and Tobago Dollars (TT\$45,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two (2) daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In The Matter of a Contravention of Section 64(1)(a) of the Securities Act Chapter
83:02 of the Laws of the Republic of Trinidad and Tobago**

By

Endeavour Holdings Limited

ORDER OF THE COMMISSION

Dated this 18th day of December, 2023

WHEREAS Section 64(1)(a) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago, (“the Act”), imposes certain obligations on a Reporting Issuer with respect to material changes;;

AND WHEREAS Endeavour Holdings Limited (“the Respondent”), following a decision by the Respondent’s Board for the amalgamation of two of its entities, effective September 14, 2023, filed a Form No. 10 – Material Change Report with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) past the respective due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 64(1)(a) of the Act by failing to file the aforementioned document with the Commission within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 64(1)(a) of the Act by filing the aforementioned document with the Commission past the due date and agreed to pay the consequential administrative fine;

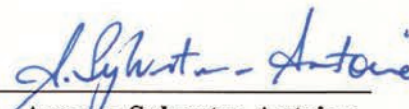
AND UPON the Board of Commissioners considering the submissions in this matter and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Endeavour Holdings Limited (“**the Respondent**”) has contravened Section 64(1)(a) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of One Thousand Trinidad and Tobago Dollars (TT\$1,000.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

**In the Matter of the Contravention of Section 63(a) of the Securities Act Chapter 83:02 and
By-Law 47(c) of the Securities (General) By-Laws, 2015**

By

JMMB Group Limited

ORDER OF THE COMMISSION

Dated this *18th* day of *December*, 2023

WHEREAS Section 63(a) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 47(c) of the Securities (General) By-Laws, 2015 (“the By-Laws”) impose certain obligations on registrants with respect to the filing of an Annual Report;

AND WHEREAS JMMB Group Limited (“the Respondent”), filed its Annual Report for the period ended March 31, 2023, with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws by failing to file the aforementioned document with the Commission, within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws by filing the aforementioned documents with the Commission past the said due date and agreed to pay the consequential administrative fine;

AND UPON the Board of Commissioners considering the submissions in this matter and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159(10)(a) of the Act.

IT IS HEREBY ORDERED THAT:

1. JMMB Group Limited (“the Respondent”) has contravened Section 63(a) of the Act and By-Law 47(c) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay to the Commission an administrative fine in the sum of Thirty-Two Thousand Trinidad and Tobago Dollars (TT\$32,000.00) within twenty-eight days of making this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of the Contravention of Section 65(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and By-Law 48(1) of the Securities (General) By-Laws 2015

By

L.J. Williams Limited

ORDER OF THE COMMISSION

Dated this *18th* day of *December*, 2023

WHEREAS Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”) and By-Law 48(1) of the Securities (General) By-Laws 2015 (“the By-Laws”) requires a Reporting Issuer to file its Audited Annual Comparative Financial Statements (“AACFS”) with the Commission, within the prescribed time frame;

AND WHEREAS L.J. Williams Limited (“the Respondent”), filed its AACFS for the financial year ended March 31, 2023, with the Trinidad and Tobago Securities and Exchange Commission (“the Commission”), past the due date;

AND WHEREAS staff of the Commission (“the Staff”) advised the Respondent that it was in contravention of Section 65(1) of the Act and By-Law 48(1) of the By-Laws by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 65(1) of the Act and By-Law 48(1) of the By-Laws and entered into a settlement agreement with the Staff (“the Settlement Agreement”);

AND WHEREAS pursuant to the Settlement Agreement which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay the sum of Nine Thousand Nine Hundred Trinidad and Tobago Dollars (TT\$9,900.00) to the Commission within twenty-eight (28) days of the making of this Order;


AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated the October 2, 2023, and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. L.J. Williams Limited (“the Respondent”) has contravened Section 65(1) of the Act and By-Law 48(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the total sum of Nine Thousand Nine Hundred Trinidad and Tobago Dollars (TT\$9,900.00) to the Commission within twenty-eight days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of a Contravention of Section 66(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago

By

Trinidad and Tobago NGL Limited

ORDER OF THE COMMISSION

Dated this 18th day of December, 2023

WHEREAS Section 66(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago, (“**the Act**”) requires a Reporting Issuer to file its Interim Financial Statement with the Commission within the prescribed timeframe;

AND WHEREAS Trinidad and Tobago NGL Limited (“**the Respondent**”) filed its Interim Financial Statement for the interim period ended March 31, 2023 with the Trinidad and Tobago Securities and Exchange Commission (“**the Commission**”) past the due date;

AND WHEREAS staff of the Commission (“**the Staff**”) advised the Respondent that it was in contravention of Section 66(1) of the Act by failing to file the aforementioned document within the prescribed timeframe;


AND WHEREAS the Respondent acknowledged that it was in contravention of Section 66(1) of the Act and entered into a settlement agreement with the Staff (“**the Settlement Agreement**”);

AND WHEREAS pursuant to the Settlement Agreement which was approved by the Settlement Panel and the Board of Commissioners the Respondent shall pay the sum of Sixty-Three Thousand Seven Hundred Fifty Trinidad and Tobago Dollars (TT\$63,750.00) to the Commission within twenty-eight (28) days of the making of this Order;


AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated September 29, 2023 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Trinidad and Tobago NGL Limited (“**the Respondent**”) has contravened Section 66(1) of the Act;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the sum of Sixty-Three Thousand Seven Hundred Fifty Trinidad and Tobago Dollars (TT\$63,750.00) within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In The Matter of a Contravention of Section 65(1) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago and By-Law 48(1) of the Securities (General) by Laws 2015

By

Trinidad and Tobago NGL Limited

ORDER OF THE COMMISSION

Dated this *18th* day of *December*, 2023

WHEREAS of Section 65(1) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“**the Act**”) and By-Law 48(1) of the Securities (General) By-Laws 2015 (“**the By-Laws**”) impose certain obligations on registrants with respect to the filing of Audited Annual Comparative Financial Statements (“**AACFS**”);

AND WHEREAS Trinidad and Tobago NGL Limited (“**the Respondent**”) filed its AACFS for the financial year ended December 31, 2023, with the Trinidad and Tobago Securities and Exchange Commission (“**the Commission**”) past the due date;

AND WHEREAS staff of the Commission (“**the Staff**”) advised the Respondent that it was in contravention of Section 65(1) of the Act and By-Law 48(1) By-Laws by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 65(1) of the Act and By-Law 48(1) By-Laws and entered into a settlement agreement with the Staff (“**the Settlement Agreement**”);

AND WHEREAS pursuant to the Settlement Agreement which was approved by the Settlement Panel and the Board of Commissioners, the Respondent shall pay the sum of One Hundred Thirteen Thousand and Fifty Trinidad and Tobago Dollars (\$113,050.00) to the Commission within twenty-eight (28) days of the making of this Order;

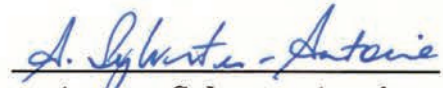
AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated September 29, 2023, and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Trinidad and Tobago NGL Limited (“**the Respondent**”) has contravened Section 65(1) of the Act and By-Law 48(1) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the total sum of One Hundred Thirteen Thousand and Fifty Trinidad and Tobago Dollars (\$113,050.00) to the Commission within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of a Contravention of Section 63(a) of the Securities Act Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago and By-Law 47(c) of the Securities (General) by Laws 2015

By

Trinidad and Tobago NGL Limited

ORDER OF THE COMMISSION

Dated this *19th* day of *December*, 2023

WHEREAS Section 63(a) of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“**the Act**”) and By-Law 47(c) of the Securities (General) By-Laws, (“**the By-Laws**”) impose certain obligations on registrants with respect to the filing of Annual Report;

AND WHEREAS Trinidad and Tobago NGL Limited (“**the Respondent**”) filed its Annual Report for the financial year ended December 31, 2023, with the Trinidad and Tobago Securities and Exchange Commission (“**the Commission**”) past the due date;

AND WHEREAS staff of the Commission (“**the Staff**”) advised the Respondent that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws by failing to file the aforementioned document within the prescribed timeframe;

AND WHEREAS the Respondent acknowledged that it was in contravention of Section 63(a) of the Act and By-Law 47(c) of the By-Laws and entered into a settlement agreement with the Staff (“**the Settlement Agreement**”);

AND WHEREAS pursuant to the Settlement Agreement which was approved by the Settlement Panel and the Board of Commissioner the Respondent shall pay the sum of Ninety-Six Thousand Nine Hundred Trinidad and Tobago Dollars (\$96,900.00) to the Commission within twenty-eight (28) days of the making of this Order;

Settlement Agreement Between the Commission and The National Gas Company of Trinidad and Tobago Limited /In The Matter of Rule 61 and Rule 62 of Securities Industry (Hearings And Settlements) Practice Rules, 2008

AND UPON the Board of Commissioners considering the submissions in the Settlement Agreement dated the September 29, 2023 and being satisfied that the making of this Order is essentially procedural and does not require a Hearing pursuant to Section 159 of the Act.

IT IS HEREBY ORDERED THAT:

1. Trinidad and Tobago NGL Limited (“**the Respondent**”) has contravened Section 63(a) of the Act and By-Law 47(c) of the By-Laws;
2. Pursuant to Section 156(2) of the Act, the Respondent shall pay an administrative fine in the total sum of Ninety-Six Thousand Nine Hundred Trinidad and Tobago Dollars (\$96,900.00) to the Commission within twenty-eight (28) days of the making of this Order; and
3. This Order shall be published in the Trinidad and Tobago Gazette and posted on the Commission’s website. A notice of the posting shall be published in two daily newspapers of general circulation in Trinidad and Tobago.



Imtiaz Hosein
Chairman



Ayanna Sylvester-Antoine
Corporate Secretary



TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION

In the Matter of Section 40 of the Securities Act, 2012

AND

In the Matter of an Application by the Trinidad and Tobago Stock Exchange Limited (“the TTSE”) for the amendment of Rule 203 [Ex-condition Dealing] and Rule 212 [Dealing and Account Periods] of the TTSE Rules

ORDER OF THE COMMISSION

Dated this 18th day of December 2023.

WHEREAS the Trinidad and Tobago Stock Exchange Limited (“the Exchange” or “the TTSE”) applied to the Trinidad and Tobago Securities and Exchange Commission (“the Commission”) for approval to amend Rule 203 [Ex-condition Dealing] and Rule 212 [Dealing and Account Periods] of the TTSE Rules.

AND WHEREAS the Commission completed the process of inviting public comment on the proposed amendments pursuant to section 40 of the Securities Act, Chapter 83:02 of the Laws of the Republic of Trinidad and Tobago (“the Act”);

AND WHEREAS the Commission determined that it was in the public interest to conduct a Hearing concerning the proposed amendments.

AND UPON:


1. This Matter being heard by the Commission’s Hearing Panel on the 6th June 2023.

2. The Staff of the TTSE and the Staff of the Commission making oral representations to the Hearing Panel.
3. The Hearing Panel being satisfied that it was not contrary to the public interest and otherwise there was no basis for refusing to approve the requested amendments pursuant to section 40 of the Act.

IT IS HEREBY ORDERED THAT the amendments to **Rule 203 and Rule 212** of the TTSE Rules are hereby approved with effect from the 4th July 2023.



Imtiaz Hosein
Chairman



Ayanna Sylvester-Antoine
Corporate Secretary

132

LICENSING SESSION

REGION OF SAN JUAN/LAVENTILLE

Applications for Licensing Committee's Certificates for New Licences in the Region of San Juan/Laventille Area, under the provisions of the Liquor Licences Act, Chap. 84:10, to be considered at the Licensing Session to be held virtually at the Port-of-Spain Magistrate's District Court on MONDAY THE 2ND DAY OF OCTOBER, 2023 at 10.30 o'clock in the forenoon.

<i>Name of Applicant</i>	<i>Abode of Applicant</i>	<i>Premises where Situate</i>	<i>Term of Licence</i>
Spirit Retailer's			
Rongie Li	... Light Pole No. 157, Eastern Main Road, Petit Bourg San Juan	Light Pole No. 157, Eastern Main Road, Petit Bourg San Juan	From 1st November, 2023 to 31st March, 2024
Burton James	... 218, Eastern Main Road Success Village Laventille	Corner Trinity Avenue and Eastern Main Road Success Village, Laventille	do.
Mukesh Katwaroo	... Light Pole No. 234 St. Helena Village	Lot No. 11, El Socorro Main Road, San Juan	do.
Collins Miller	... Lot No. 5, Bhagoutie Trace, San Juan	Lot No. 5, Bhagoutie Trace, San Juan	do.
Spirit Grocer's			
Tehron Appoo	... Corner Appoo Avenue Orangefield Road Caripichama	101, Saddle Road Maraval	From 1st November, 2023 to 31st March, 2024
Jason Carlester Johnson	... No. 58 Darczuil Lane Mausica	NPMC Service Station Corner Mc Allister Street and Eastern Main Road, Laventille	do.
Jason Carlester Johnson	... No. 58 Darczuil Lane Mausica	NPMC Service Station Corner Beetham Highway	do.