

LEGAL NOTICE NO. 238

REPUBLIC OF TRINIDAD AND TOBAGO

THE TRUSTEE ORDINANCE, CH. 4 OF 1939

REGULATIONS

MADE BY THE MINISTER OF LEGAL AFFAIRS UNDER SECTION 10F OF
THE TRUSTEE ORDINANCE

THE TRUSTEE ORDINANCE (PRESCRIBED FORMS AND FEES)
REGULATIONS, 2024

1. These Regulations may be cited as the Trustee Ordinance Citation
(Prescribed Forms and Fees) Regulations, 2024.

2. The Forms contained in Schedule 1, are the forms required to be Forms for
prescribed by Regulations by the Minister of Legal Affairs under sections
sections 2A (3), 10C and 10D, of the Ordinance.

3. (1) The forms prescribed by these Regulations shall be Requirements
submitted— for forms

- (a) on good quality white paper approximately 8.5 inches by 11 inches in size;
- (b) printed or typewritten; and
- (c) legible and suitable for scanning.

(2) Where an item of information required to be disclosed in a form does not apply, it shall be so indicated by the phrase “not applicable” or by the abbreviation “N/A”.

(3) Where information is set out in response to one item in a document, it may be referred to in response to any other item in that document by a cross reference.

(4) Where—

- (a) any provision required to be set out in a form furnished to the Registrar is too long to be set out in the space provided in the form; or
- (b) an agreement or other document is to be incorporated by reference in and is to be part of the form—

the person completing the form may, subject to subregulation 3(1), incorporate the provision, agreement or other document in the form by setting out in the space provided in the form, the following sentence:

“The annexed Schedule is incorporated in this Form.”,
and by annexing the provision, agreement or other document to the form as that Schedule.

(5) A separate Schedule is required in respect of each item that is incorporated in a form by reference, pursuant to subregulation (1).

4. The fees payable under the Act are set out in Schedule 2.

Fees

SCHEDULE I

(Regulation 2)

REPUBLIC OF TRINIDAD AND TOBAGO

THE TRUSTEE ORDINANCE, CH. 4 OF 1939

Section 2A(3)

FORM 1

APPLICATION FOR REGISTRATION OF A TRUST/LEGAL ARRANGEMENT

1. TRUST/LEGAL ARRANGEMENT INFORMATION

1.1. DATE OF TRUST/LEGAL ARRANGEMENT _____

1.2. DEED NUMBER _____

(if registered pursuant to the Registration of Deeds Act)

1.3. SUBJECT MATTER OF THE TRUST/LEGAL ARRANGEMENT:

(Select all that apply)

<input type="checkbox"/>	Money
<input type="checkbox"/>	Property
<input type="checkbox"/>	Shares
<input type="checkbox"/>	Other, please specify:

2. NATURE OF THE TRUST/LEGAL ARRANGEMENT

(Select all that apply)

<input type="checkbox"/>	Express trust governed by the laws of Trinidad and Tobago
<input type="checkbox"/>	Foreign express trust with a trustee resident in Trinidad and Tobago
<input type="checkbox"/>	Foreign express trust formed in respect of a registrable asset in Trinidad and Tobago

PARTIES TO THE TRUST/LEGAL ARRANGEMENT

Please complete all sections below as applicable to the relevant instrument evidencing the trust or legal arrangement. An individual can hold multiple roles; if this is the case, please ensure all relevant roles are indicated.

Where the information is required to be set out in relation to more than one party in an Item below, additional tables may be added or alternatively schedules may be incorporated into the form.

3. TRUSTEE(S)/ADMINISTRATOR(S)3.1. Trustee(s)/Administrator(s) who is an /are **individual(s)*** -

Position	Trustee	Administrator
Name		
Address		
Nationality		
Occupation/Status		
Telephone No.		
Email address		

Note: * Delete subitem(s) if not applicable

3.2. Trustee(s)/Administrator(s) who is a/are **legal person(s)*** -

Position	Trustee	Administrator
Name		
Company Number		
Registered Office		
Jurisdiction of Incorporation/ Formation		
Status		
Telephone No.		
Email address		

Note: * Delete subitem(s) if not applicable

3.3. Trustee(s)/Administrator(s) who is a/are **legal arrangement(s)*** -

Position	Trustee	Administrator
Trustee(s)/Administrator		
Settlor(s)/ Equivalent Position		
Protector(s)/ Equivalent Position		
Beneficiary(ies)/class(es) of beneficiaries/ Equivalent Position		
Type of legal arrangement	<input type="radio"/> Trust <input type="radio"/> Other form of legal arrangement: <i>(State the type of legal arrangement)</i>	
Governing Jurisdiction		

Note: * Delete subitem(s) if not applicable

4. SETTLOR(S)/PERSON(S) IN EQUIVALENT POSITION4.1. Settlor(s)/ person(s) in an equivalent position who is an/are **individual(s)*** -

Equivalent Position <i>(if applicable)</i>	
Name	
Address	
Nationality	
Occupation	
Telephone No.	
Email address	

Note: * Delete subitem(s) if not applicable

THE TRUSTEE ORDINANCE, CH. 4 OF 1939

FORM 1

APPLICATION FOR REGISTRATION OF A TRUST/LEGAL ARRANGEMENT

Instructions

Definition of Beneficial Owner

Beneficial Owner is defined at section 10A of the Trustee Ordinance, which includes:

- (a) the settlors, trustees, protectors, beneficiaries or class of beneficiaries, or persons in equivalent positions; and
- (b) any other natural person exercising ultimate effective control over the trust/legal arrangement either directly or indirectly, including through a chain of control or ownership.

Format

Documents required to be sent to the Registrar pursuant to the Ordinance must conform to regulation 2 of the Regulations under the Ordinance.

Item 1

Set out the date of the trust or legal arrangement.

Item 1.2

State the deed number if registered pursuant to Registration of Deeds Act.

Item 1.3

Indicate the subject matter of the trust/legal arrangement by ticking the appropriate box. If 'other' is selected, please state the particulars of the other type of subject matter.

Item 2

Indicate the nature of the trust/legal arrangement by ticking the appropriate box.

Item 3.1

With respect to the parties trustee(s), or administrator(s) to the trust or legal arrangement who are individuals, the following must be included as applicable to the relevant trust deed -

- (a) indicate whether the position is trustee or administrator;
- (b) state first name (s), middle name (s), and surname (s) of the individual;
- (c) state the address of the individual, including the building number and, if a multi-unit building, unit number;
- (d) state the nationality;
- (e) state occupation/calling. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A";
- (f) state telephone number (s); and
- (g) state email address.

If one person holds multiple roles, this must be indicated.

Item 3.2

With respect to the party(ies) (trustee(s), or administrator(s)) to the trust or legal arrangement who is a/are legal person(s) the following must be included -

- (a) indicate whether the position is trustee or administrator;
- (b) state the name of the company;
- (c) state the company number;
- (d) state full address of the registered office;
- (e) state jurisdiction of incorporation/formation e.g. "incorporated under the laws of Trinidad and Tobago" (or elsewhere);
- (f) state status e.g. "corporation/limited or unlimited liability company incorporated under the laws of Trinidad and Tobago";
- (g) state telephone number (s); and
- (h) state email address.

Item 3.3

With respect to the party(ies) trustee(s), or administrator(s) to the trust or legal arrangement who is a/are legal arrangement (s) the following must be included:

- (a) indicate whether the position is trustee or administrator;
- (b) state the full name of the trustee/administrator;
- (c) state the full name of the settlor (s) or the person in the equivalent position;
- (d) state the full name of the protector (s) or the person in the equivalent position;
- (e) state the full name of the beneficiaries or class(es) of beneficiaries, or the person in an equivalent position;
- (f) indicate the type of legal arrangement; and
- (g) state the governing jurisdiction.

Item 4.1

With respect to settlor(s)/person(s) in an equivalent position who is an/are individual(s) -

- (a) indicate the equivalent position (if applicable);
- (b) state the first name(s), middle name(s) and surname(s) of the individual;
- (c) state the address of the individual, including the building number and, if a multi-unit building, unit number;
- (d) state the nationality;
- (e) state the occupation of the individual. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A";
- (f) state the telephone number (s); and
- (g) state email address.

Item 4.2

With respect to settlor(s)/person(s) in an equivalent position who is a/are legal person(s) -

- (a) indicate the equivalent position (if applicable);
- (b) state the name of the company;
- (c) state the company number;
- (d) state the full address of the registered office;
- (e) state the jurisdiction of incorporation/formation e.g. "incorporated under the laws of Trinidad and Tobago" (or elsewhere);
- (f) state the status e.g. "corporation/limited or unlimited liability company incorporated under the laws of Trinidad and Tobago";
- (g) state telephone number(s); and
- (h) state the email address.

Item 4.3

With respect to settlor(s)/person(s) in an equivalent position who is a/are legal arrangement(s) -

- (a) indicate the equivalent position (if applicable);
- (b) state the full name of the trustee(s) or administrator(s);
- (c) state the full name of the settlor(s) or person in an equivalent position;
- (d) state the full name of the protector(s) or person in an equivalent position;
- (e) state the full name of the beneficiary(ies) or class(es) of beneficiaries or person(s) in an equivalent position;
- (f) indicate the type of arrangement; and
- (g) state the governing jurisdiction.

Item 5.1

With respect to protector(s)/person(s) in equivalent position who is a/are individual(s) -

- (a) indicate the equivalent position (if applicable);
- (b) state first name(s), middle name(s), and surnames(s) of the individual;
- (c) state address of the individual, including the building number and, if a multi-unit building, unit number;
- (d) state the nationality;
- (e) state the occupation of the individual. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A";
- (f) state the telephone number(s); and
- (g) state the email address of the individual.

Item 5.2

With respect to protector(s)/person(s) in an equivalent position who is a/are legal person(s) -

- (a) indicate the equivalent position (if applicable);
- (b) state the name of the legal entity;
- (c) state the company number;
- (d) state the address of the registered office;
- (e) state the jurisdiction of incorporation/formation e.g. "incorporated under the laws of Trinidad and Tobago" (or elsewhere);
- (f) state the status e.g. "corporation/limited or unlimited liability company incorporated under the laws of Trinidad and Tobago";
- (g) state the telephone number(s); and
- (h) state the email address.

Item 5.3

With respect to protector(s)/person(s) in an equivalent position who is a/are legal arrangement(s) -

- (a) indicate the equivalent position (if applicable);
- (b) state the full name of the trustee(s)/administrator(s);
- (c) state the full name of the settlor(s)/ person in an equivalent position;
- (d) state the full name of the protector(s)/person in an equivalent position;
- (e) state the full name of the beneficiary(ies)/class(es) of beneficiaries/person in an equivalent position;
- (f) indicate the type of legal arrangement; and
- (g) state the governing jurisdiction.

Item 6

State the beneficiary(ies)/class(es) of beneficiaries.

Signature -

The trustee or administrator should date the form, state his first name(s) and surname(s), his title and sign the application.

NOTE: The Form 1 - Application for Registration must be accompanied by a completed Form 4 – Return of Beneficial Ownership.

REPUBLIC OF TRINIDAD AND TOBAGO

THE TRUSTEE ORDINANCE, CH. 4 OF 1939

[Section 2A(4)]

FORM 2

**CERTIFICATE OF REGISTRATION
OF A TRUST OR OTHER FORM OF LEGAL ARRANGEMENT**

Registration Number

I hereby certify that the trust or other form of legal arrangement described below was registered pursuant to section 2A(4) of the Trustee Ordinance Ch. 4 of 1939.

[NAMES] AND [ADDRESSES] OF [TRUSTEE(S)*]/ADMINISTRATOR(S)*]

Registrar General

Date of Registration

REPUBLIC OF TRINIDAD AND TOBAGO

THE TRUSTEE ORDINANCE, CH. 4 OF 1939

(Section 10C)

FORM 3

STATEMENT IN RESPECT OF BENEFICIAL OWNERSHIP STATUS

Where the information is required to be set out in relation to more than one party in an Item below, additional tables may be added or alternatively schedules may be incorporated into the form.

1. Party who is an Individual -

1.1. Particulars of the party -

Role within the Trust/Legal Arrangement	
Name	
Address	
Nationality	
Occupation	
Form of Identification	
Identification Number	
Country of Issue	
Expiry Date	

1.2. Beneficial Owner Status -

I, the above named at Item 1.1, confirm that -
(Select the option that is applicable)

- I **am** a beneficial owner of the trust/legal arrangement, for the following reasons:

- I **am not** a beneficial owner of the trust/legal arrangement and, the following person is a beneficial owner of the trust/legal arrangement on the basis indicated:

Name	
Address	
Nationality	
Occupation	
Nature of Beneficial Interest	

2. Party who is a legal person**2.1. Particulars of the party to the trust/legal arrangement who is a legal person (company) and particulars of a Director or Secretary of the said company -**

Role within the Trust/ Legal Arrangement	
Corporate Name	
Company Number	
Registered Office Address	
Jurisdiction of Incorporation/Formation	
Status	

Name of Officer of the Company		
Title of Officer of the Company	Director	Secretary
Form of Identification		
Identification Number		
Country of Issue		
Expiry Date		

2.2. Beneficial Owner Status -

I, the above named at Item 2.1, confirm that the following person(s) are the beneficial owner(s) of the trust/legal arrangement, as identified pursuant to Part VA of the Companies Act, Chap. 81:01:

Name	
Address	
Nationality	
Occupation	
Nature of Beneficial Interest	

3. Party who is a legal arrangement -**3.1. Particulars of the party to the trust who is a legal arrangement and particulars of a trustee/administrator of the legal arrangement -**

Role within the Trust/ Legal Arrangement	
Trustee(s)/Administrator	
Settlor(s)/ Equivalent Position	
Protector(s)/ Equivalent Position	
Beneficiary(ies)/class(es) of beneficiaries/ Equivalent Position	

Type of legal arrangement	<input type="radio"/> Trust <input type="radio"/> Other form of legal arrangement: _____ (State the type of legal arrangement)
Governing Jurisdiction	

Name of Trustee/ Administrator		
Role	Trustee	Administrator
Form of Identification		
Identification Number		
Country of Issue		
Expiry Date		

3.2. Beneficial Owner Status -

I, the above-named at Item 3.1, confirm that the following person(s) are the beneficial owner(s) of the trust/legal arrangement, as identified pursuant to Part IIA of the Trustee Ordinance, Ch. 4 of 1939 –

Name	
Address	
Nationality	
Occupation	
Nature of Beneficial Interest	

4. Individuals who are not parties but are beneficial owners of the trust/legal arrangement**4.1. Particulars of beneficial owner -**

Name	
Address	
Nationality	
Occupation	
Form of Identification	
Identification Number	
Country of Issue	
Expiry Date	

4.2. Beneficial Owner Status:

I, the above-named, confirm that I am a beneficial owner of the trust/legal arrangement, for the following reason(s)/on the following basis:

Dated the _____ day of _____, _____.

Name:

Title:

Form 3**STATEMENT IN RESPECT OF BENEFICIAL OWNERSHIP STATUS
BY PARTIES TO THE TRUST LEGAL ARRANGEMENT**

Instructions

Note: Please only complete the Items of the form that are applicable. A party to the trust (e.g. Trustee) who is a natural person should only complete Item 1 (inclusive of 1.1 and 1.2).

Format

Documents required to be sent to the Registrar pursuant to the Act must conform to regulation 2 of the Regulations under the Ordinance.

Item 1 *Only applicable to Parties to the Trust/Legal Arrangement who are natural persons***Item 1.1**

With respect to a party who is an individual, state -

- (a) role within the trust/legal arrangement;
- (b) first name(s), middle name(s) and surname(s);
- (c) address including the building number and, if a multi-unit building, unit number;
- (d) the nationality;
- (e) occupation/calling. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A"; and
- (f) form of identification, associated number, country of issue, and expiry date.

Item 1.2

The beneficial owner status must be included -

- (a) if individual is a beneficial owner, state reasons why individual is a beneficial owner;
- (b) if individual is not a beneficial owner, state the following for the beneficial owner -
 - first name(s), middle name(s) and surname(s);
 - address including the building number and, if a multi-unit building, unit number;
 - the nationality;
 - occupation/calling. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A"; and
 - the nature of beneficial interest.

Item 2 *Only applicable to Parties to the Trust/Legal Arrangement who are legal persons (companies)***Item 2.1**

With respect to a party who is a legal person (company), state -

- (a) role within the trust/legal arrangement;
- (b) the full registered name and number of the company;
- (c) the registered office of company, including the building number and, if a multi-unit building, unit number;
- (d) the jurisdiction of incorporation/formation e.g. "incorporated under the laws of Trinidad and Tobago (or elsewhere); and
- (e) the status of the company e.g., "corporation/limited or unlimited liability company" (if a corporation).

With respect to Director/Secretary of a company, state -

- (a) the first name(s), middle name(s) and surname(s) of officer of the company;
- (b) the role of officer within the company (whether Director or Secretary); and
- (c) a form of identification, associated number, country of issue and expiry date.

Item 2.2

The beneficial owner status must be included -

- (a) state the following particulars for the natural person(s) who is/are the beneficial owner(s):
 - first name(s), middle name(s) and surname(s);
 - address including the building number and, if a multi-unit building, unit number;
 - the nationality;
 - occupation/calling. In the case of a natural person who has no business occupation state "Not applicable" or "N/A"; and
 - the nature of beneficial interest.

Item 3 Only applicable to Parties to the Trust/Legal Arrangement who are legal arrangements (e.g. where a trust is trustee of another trust)

Item 3.1

With respect to a party to the trust who is a legal arrangement, the trustee/administrator of the legal arrangement -

- (a) state role within the trust/legal arrangement;
- (b) for Trustee(s)/Administrator, Settlor(s)/Equivalent Position, Protector(s)/Equivalent Position, Beneficiary(ies)/class(es) of beneficiaries/Equivalent Position: State first name(s), middle name(s) and surname(s) (if a natural person) or registered name (if a corporation) next to the appropriate role;
- (c) indicate whether the legal arrangement is a trust or other form of legal arrangement. If other type of legal arrangement is selected, state the type of legal arrangement;
- (d) state the governing jurisdiction (e.g. "governed by the laws of Trinidad and Tobago (or elsewhere));
- (e) state the first name(s), middle name(s) and surname(s) of the Trustee/Administrator;
- (f) state the role (indicate whether role is Trustee/Administrator); and
- (g) state form of identification, associated number, country of issue and expiry date.

Item 3.2

The beneficial owner status must be included -

- (a) State the following particulars for the natural person(s) who is/are the beneficial owner(s):
 - first name(s), middle name(s) and surname(s);
 - address including the building number and, if a multi-unit building, unit number;
 - nationality;
 - occupation/calling. In the case of a natural person who has no business occupation state "Not applicable" or "N/A"; and
 - nature of beneficial interest.

Item 4 Only applicable to persons who are beneficial owners of the Trust/Legal Arrangement but are not party to the trust

Item 4.1

With respect to individual(s) who are not parties but are beneficial owners of the trust/legal arrangement, state -

- (a) first name(s), middle name(s) and surname(s);
- (b) address including the building number and, if a multi-unit building, unit number;
- (c) nationality;
- (d) occupation/calling. In the case of a natural person who has no business occupation, but who holds any other directorship or directorships, particulars of that other directorship or at least one of those other directorships should be stated. In the case of a natural person who has no other business occupation or directorship of any kind, state "Not applicable" or "N/A"; and
- (e) form of identification, associated number, country of issue, and expiry date.

Item 4.2

The nature of beneficial interest must be stated.

Signature

The person completing the statement shall date, sign and state his title on the statement. Where the statement is made on behalf of a company, the Director or Secretary of the company who completes the statement shall sign and state the title under which their signature is being made. Where the statement is being made on behalf of a legal arrangement, the Trustee or Administrator of the trust/other form of legal arrangement, who completes the statement shall sign and state the title under which their signature is being made.

REPUBLIC OF TRINIDAD AND TOBAGO

THE TRUSTEE ORDINANCE, CH. 4 of 1939

[Section 10D(1)]

FORM 4

RETURN OF BENEFICIAL OWNERSHIP OF A TRUST/LEGAL ARRANGEMENT

Where the information is required to be set out in relation to more than one party in an Item below, additional tables may be added or alternatively schedules may be incorporated into the form.

1. Registration Number _____
2. Statement under section 10C received on the following date(s): _____
3. Changes to the list of beneficial owners of the company - _____

(a) Particulars of individual(s) becoming beneficial owners of the Trust/Legal Arrangement -

Name	Address	Occupation	Nationality	Role	Nature of Beneficial Interest	Date of becoming beneficial owner

(b) Particulars of individual(s) ceasing to be beneficial owner (s) of the Trust/Legal Arrangement:

Name	Address	Occupation	Nationality	Nature of Beneficial Interest	Date of ceasing to be beneficial owner

(c) Change of particulars (information) of existing beneficial owners of the Trust/Legal Arrangement -

Name and Particulars of Change of Name	Address and Date of Change	Occupation and Date of Change	Nationality and Date of Change

4. List of beneficial owners of the Trust/Legal Arrangement as of this date -

Name			Nationality	
Occupation				
Nature of beneficial interest -				
<input type="checkbox"/>	Trustee/ Administrator			
<input type="checkbox"/>	Settlor/ Equivalent Position			
<input type="checkbox"/>	Protector/ Equivalent Position			
<input type="checkbox"/>	Beneficiary/Class of Beneficiary			
<input type="checkbox"/>	Other			

DECLARATION OF ACCURACY OF PRESENTED INFORMATION

I, the undersigned, declare that the information contained in this form is to the best of my knowledge, information and belief, true and correct.

5.	Date	Name and Title	Signature

THE TRUSTEE ORDINANCE, CH. 4 OF 1939

FORM 4

RETURN OF BENEFICIAL OWNERSHIP OF A TRUST/LEGAL ARRANGEMENT

Instructions

Format

Documents required to be sent to the Registrar pursuant to the Act must conform to regulation 2 of the Regulations under the Ordinance.

Item 1

State the registration number of the trust/legal arrangement.

Item 2

State the date(s) on which the statement under section 10C of The Trustee Ordinance, Ch. 4 of 1939 was/were received.

Item 3

(a) For individual(s) becoming beneficial owner(s) of the Trust/Legal Arrangement, state the following information for each individual:

- state first name(s), middle name(s) and surname(s);
- state address including the building number and, if a multi-unit building, unit number;
- state occupation/calling. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A";
- state the nationality;
- state role within the trust/legal arrangement;
- state the nature of beneficial interest; and
- state the date on which individual became a beneficial owner.

(b) For individual(s) ceasing to be beneficial owner(s), state the following information for each individual:

- first name(s), middle name(s) and surname(s);
- address including the building number and, if a multi-unit building, unit number;
- occupation/calling. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A";
- the nationality;
- the nature of beneficial interest; and
- the date on which individual ceased being a beneficial owner.

- (c) For any changes of particulars (information) of existing beneficial owners of the Trust/Legal Arrangement state the following (if applicable):
- first name(s), middle name(s) and surname(s) of beneficial owner to which the change would apply. Particulars of name changes need to be stated only if there is a change in name.
 - the address including the building number and, if a multi-unit building, unit number and the date of the change of address, only if there is change in the address;
 - any change in occupation/calling and date of change only if there is a change in occupation. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A"; and
 - the nationality and date of change only if there has been a change in nationality.

Item 4

With respect to each person whose name is entered in the register of beneficial owners of the trust or the holder of the beneficial and/or controlling interest in the trust or legal arrangement, as at the date of the Return of Beneficial Ownership of the Trust/Legal Arrangement, please state the following:

- (a) state first name(s), middle name(s) and surname(s) of the beneficial owner;
- (b) state full address including the building number and, if a multi-unit building, unit number;
- (c) state occupation/calling. In the case of a natural person who has no business occupation state "Not applicable" or "N/A";
- (d) state the nationality of the beneficial owner; and
- (e) indicate the nature of the beneficial interest (select from the categories listed). If "Other" selected, indicate what is the nature of the beneficial interest.

Signature –

A trustee or administrator can sign the return and indicate the date, his first name (s) and surname (s), and his title.

NOTE: The Trustee Ordinance Ch. 4 of 1939 (as amended) requires the disclosure of beneficial ownership information, (and any changes) with penalties for non-compliance.

REPUBLIC OF TRINIDAD AND TOBAGO

THE TRUSTEE ORDINANCE, CH. 4 of 1939

FORM 5

[Section 10D(14)]

APPLICATION FOR RESTORATION OF A TRUST/LEGAL ARRANGEMENT

1. REGISTRATION NUMBER _____

2. DATE OF CANCELLATION OF THE REGISTRATION OF THE TRUST/LEGAL ARRANGEMENT _____

PARTIES TO THE TRUST/LEGAL ARRANGEMENT.

Please complete all sections below as applicable to the relevant instrument evidencing the trust or legal arrangement. An individual can hold multiple roles; if this is the case, please ensure all relevant roles are indicated.

All persons, party to the trust/legal arrangement who are the beneficial owners by virtue of their position in the trust/legal arrangement should select "Yes" at the section titled 'Beneficial Owner Status'. Where "Yes" is selected, these persons are not required to be repeated below at Item 7: Beneficial Owners of the Trust/Legal Arrangements.

Where the information is required to be set out in relation to more than one party in an Item below, additional tables may be added or alternatively schedules may be incorporated into the form.

3. TRUSTEE(S)/ADMINISTRATOR(S)

3.1 Trustee(s)/Administrator(s) who is an /are **individual(s)*** -

Position	Trustee	Administrator
Name		
Address		
Nationality		
Occupation/Status		
Telephone No.		
Email address		
Beneficial owner status	YES	NO
Form of Identification and Identification number if 'Yes' is selected above	Form of Identification:	Identification Number:

Note: * Delete subitem(s) if not applicable

3.2 Trustee(s)/Administrator(s) who is a/are **legal person(s)*** -

Position	Trustee	Administrator
Name		
Company Number		

Registered Office	
Jurisdiction of Incorporation/ Formation	
Status	
Telephone No.	
Email address	

Note: * Delete subitem(s) if not applicable

3.3 Trustee(s)/Administrator(s) who is a/are **legal arrangement(s)*** -

Position	Trustee	Administrator
Trustee(s)/Administrator		
Settlor(s)/ Equivalent Position		
Protector(s)/ Equivalent Position		
Beneficiary(ies)/class(es) of beneficiaries/ Equivalent Position		
Type of legal arrangement	<input type="radio"/> Trust <input type="radio"/> Other form of legal arrangement: _____ <small>(State the type of legal arrangement)</small>	
Governing Jurisdiction		

Note: * Delete subitem(s) if not applicable

4. SETTLOR(S)/PERSON(S) IN EQUIVALENT POSITION

4.1. Settlor(s)/ person(s) in an equivalent position who is an/are **individual(s)*** -

Equivalent Position <i>(if applicable)</i>		
Name		
Address		
Nationality		
Occupation		
Telephone No.		
Email address		
Beneficial owner status	YES	NO
Form of Identification and Identification number if 'Yes' is selected above	Form of Identification:	Identification Number:

Note: * Delete subitem(s) if not applicable

4.2. Settlor(s)/ person(s) in an equivalent position who is a/are **legal person(s)*** -

Equivalent Position <i>(if applicable)</i>	
Name	
Company Number	
Registered Office	
Jurisdiction of Incorporation/ Formation	
Status	
Telephone No.	
Email address	

Note: * Delete subitem(s) if not applicable

4.3. Settlor(s)/ person(s) in an equivalent position who is a/are **legal arrangement(s)*** -

Equivalent Position (if applicable)	
Trustee(s)/Administrator	
Settlor(s)/ Equivalent Position	
Protector(s)/ Equivalent Position	
Beneficiary(ies)/class(es) of beneficiaries/ Equivalent Position	
Type of legal arrangement	<input type="radio"/> Trust <input type="radio"/> Other form of legal arrangement: _____ _____ (State the type of legal arrangement)
Governing Jurisdiction	

Note: * Delete subitem(s) if not applicable

5. PROTECTOR(S)/PERSON(S) IN EQUIVALENT POSITION

5.1 Protector(s)/person(s) in an equivalent position who is/are **individual(s)*** -

Equivalent Position (if applicable)		
Name		
Address		
Nationality		
Occupation		
Telephone No.		
Email address		
Beneficial owner status	YES	NO
Form of Identification and Identification number if 'Yes' is selected above	Form of Identification: _____	Identification Number: _____

Note: * Delete subitem(s) if not applicable

5.2 Protector(s)/person(s) in an equivalent position who is a/are **legal person(s)*** -

Equivalent Position (if applicable)	
Name	
Company Number	
Registered Office	
Jurisdiction of Incorporation/ Formation	
Status	
Telephone No.	
Email address	

Note: * Delete subitem(s) if not applicable

5.3 Protector(s)/person(s) in an equivalent position who is a/an legal arrangement(s)* -

Equivalent Position <i>(if applicable)</i>	
Trustee(s)/ Administrator	
Settlor(s)/ Equivalent Position	
Protector(s)/ Equivalent Position	
Beneficiary(ies)/class(es) of beneficiaries/ Equivalent Position	
Type of legal arrangement	<input type="radio"/> Trust <input type="radio"/> Other form of legal arrangement: _____ <i>(State the type of legal arrangement)</i>
Governing Jurisdiction	

Note: * Delete subitem(s) if not applicable

6. BENEFICIARY(IES)/CLASS(ES) OF BENEFICIARIES

7. BENEFICIAL OWNERS OF THE TRUST/LEGAL ARRANGEMENT WHO ARE NOT PARTY TO THE TRUST

Please list all persons below who meet the definition of 'beneficial owner' as defined in the Trustee Ordinance, as amended, who are not already listed above.

Where the information is required to be set out in relation to more than one party in an Item below, additional tables may be added or alternatively schedules may be incorporated into the form.

7.1 In respect of a party to the trust that is a legal entity the particulars of the beneficial owner(s) of that entity*

Company Name and Number	Company Name	Company Number
Name		
Address		
Nationality		
Occupation		
Telephone No.		
Email address		
Nature of Beneficial Interest		
Form of Identification and Identification number if 'Yes' is selected above	Form of Identification:	Identification Number:

Note: * Delete subitem(s) if not applicable

7.2 In respect of a party to the trust that is a trust or other form of legal arrangement, the particulars of the beneficial owner(s) of that trust or other form of legal arrangement* -

Name		
Address		
Nationality		
Occupation		
Telephone No.		
Email address		
Nature of Beneficial Interest		
Form of Identification and Identification number if 'Yes' is selected above	Form of Identification:	Identification Number:

Note: * Delete subitem(s) if not applicable

7.3 Individuals who are not parties but are beneficial owners of the trust/legal arrangement* -

Name		
Address		
Nationality		
Occupation		
Telephone No.		
Email address		
Nature of Beneficial Interest		
Form of Identification and Identification number if 'Yes' is selected above	Form of Identification:	Identification Number:

Note: * Delete subitem(s) if not applicable

8. Documents attached -

	Copy of the instrument evidencing the trust or legal arrangement
	Copy of photo identification of each beneficial owner of the trust/legal arrangement
	Copy of the certificate of incorporation/formation/registration of all legal persons which are party to the trust.

DATE	NAME AND TITLE	SIGNATURE

THE TRUSTEE ORDINANCE, CH. 4 OF 1939

FORM 5**APPLICATION FOR RESTORATION OF A TRUST/LEGAL ARRANGEMENT**

Instructions

Definition of Beneficial Owner

Beneficial Owner is defined at section 10A of the Trustee Ordinance, which includes:

- (a) the settlors, trustees, protectors, beneficiaries or class of beneficiaries, or persons in equivalent positions; and
- (b) any other natural person exercising ultimate effective control over the trust/legal arrangement either directly or indirectly, including through a chain of control or ownership.

Format

Documents required to be sent to the Registrar pursuant to the Ordinance must conform to regulation 2 of the Regulations under the Ordinance.

Item 1

Set out the registration number of the trust or legal arrangement.

Item 2

State the date of cancellation of the registration of the trust/legal arrangement.

Item 3.1

With respect to the parties [trustee(s), or administrator(s)] to the trust or legal arrangement who are individuals, the following must be included as applicable to the relevant trust deed:

- (a) indicate whether the position is trustee or administrator;
- (b) state first name (s), middle name (s), and surname (s) of the individual;
- (c) state the address of the individual, including the building number and, if a multi-unit building, unit number;
- (d) state the nationality;
- (e) state occupation/calling. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A";
- (f) state telephone number (s);
- (g) state email address;
- (h) indicate beneficial ownership status by ticking the appropriate box; and
- (i) where the person is a beneficial owner, state the form of identification and identification number that will be attached to the application.

Item 3.2

With respect to the party(ies) [trustee(s), or administrator(s)] to the trust or legal arrangement who is a/are legal person(s) the following must be included:

- (a) indicate whether the position is trustee or administrator;

- (b) state the name of the company;
- (c) state the company number;
- (d) state full address of the registered office;
- (e) state jurisdiction of incorporation/formation e.g. "incorporated under the laws of Trinidad and Tobago" (or elsewhere);
- (f) state status e.g. "corporation/limited or unlimited liability company incorporated under the laws of Trinidad and Tobago";
- (g) state telephone number (s); and
- (h) state email address.

Item 3.3

With respect to the party(ies) [trustee(s), or administrator(s)] to the trust or legal arrangement who is a/are legal arrangement (s) the following must be included:

- (a) indicate whether the position is trustee or administrator;
- (b) state the full name of the trustee or administrator;
- (c) state the full name of the settlor (s) or the person in the equivalent position;
- (d) state the full name of the protector (s) or the person in the equivalent position;
- (e) state the full name of the beneficiaries or class(es) of beneficiaries, or the person in an equivalent position;
- (f) indicate the type of legal arrangement; and
- (g) state the governing jurisdiction.

Item 4.1

With respect to settlor(s)/person(s) in an equivalent position who is an/are individual(s) -

- (a) indicate the equivalent position (if applicable);
- (b) state the first name (s), middle name (s) and surname (s) of the individual;
- (c) state the address of the individual, including the building number and, if a multi-unit building, unit number;
- (d) state the nationality;
- (e) state the occupation of the individual. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A";
- (f) state the telephone number (s);
- (g) state email address;
- (h) indicate beneficial owner status by ticking the appropriate box; and
- (i) where the person is a beneficial owner, state the form of identification and identification number that will be attached to the application.

Item 4.2

With respect to settlor(s)/person(s) in an equivalent position who is a/are legal person(s) -

- (a) indicate the equivalent position (if applicable);
- (b) state the name of the company;
- (c) state the company number;
- (d) state the full address of the registered office;
- (e) state the jurisdiction of incorporation/formation e.g. "incorporated under the laws of Trinidad and Tobago" (or elsewhere);
- (f) state the status e.g. "corporation/limited or unlimited liability company incorporated under the laws of Trinidad and Tobago";

- (g) state telephone number(s); and
- (h) state the email address.

Item 4.3

With respect to settlor(s)/person(s) in an equivalent position who is a/are legal arrangement (s) -

- (a) indicate the equivalent position (if applicable);
- (b) state the full name of the trustee(s) or administrator(s);
- (c) state the full name of the settlor(s) or person in an equivalent position;
- (d) state the full name of the protector(s) or person in an equivalent position;
- (e) state the full name of the beneficiary(ies) or class(es) of beneficiaries or person(s) in an equivalent position;
- (f) indicate the type of arrangement; and
- (g) state the governing jurisdiction.

Item 5.1

With respect to protector(s)/person(s) in an equivalent position who is an/are individual (s) -

- (a) indicate the equivalent position (if applicable);
- (b) state first name(s), middle name(s), and surname(s) of the individual;
- (c) state address of the individual, including the building number and, if a multi-unit building, unit number;
- (d) state the nationality;
- (e) state the occupation of the individual. In the case of a natural person who has no business occupation, state "Not applicable" or "N/A";
- (f) state the telephone number(s);
- (g) state the email address of the individual;
- (h) indicate beneficial owner status by ticking the appropriate box; and
- (i) where the person is a beneficial owner, state the form of identification and identification number that will be attached to the application.

Item 5.2

With respect to protector(s)/person(s) in an equivalent position who is a/are legal person (s) -

- (a) indicate the equivalent position (if applicable);
- (b) state the name of the legal entity;
- (c) state the company number;
- (d) state the address of the registered office;
- (e) state the jurisdiction of incorporation/formation e.g. "incorporated under the laws of Trinidad and Tobago" (or elsewhere);
- (f) state the status e.g. "corporation/limited or unlimited liability company incorporated under the laws of Trinidad and Tobago";
- (g) state the telephone number(s); and
- (h) state the email address.

Item 5.3

With respect to protector(s)/person(s) in an equivalent position who is a/are legal arrangement (s) -

- (a) indicate the equivalent position (if applicable);
- (b) state the full name of the trustee(s)/administrator(s);
- (c) state the full name of the settlor(s)/ person in an equivalent position;
- (d) state the full name of the protector(s)/person in an equivalent position;
- (e) state the full name of the beneficiary(ies)/class(es) of beneficiaries/person in an equivalent position;
- (f) indicate the type of legal arrangement; and
- (g) state the governing jurisdiction.

Item 6

State the beneficiary(ies)/class(es) of beneficiaries.

Item 7

This item relates to Beneficial Owner(s) of the trust/legal arrangement who is/are not party(ies) to the trust.

Item 7.1

In respect of a party to the trust that is a legal entity, the particulars of the beneficial owner(s) of that entity -

- (a) state the company name and company number of the party to the trust
- (b) state first name (s), middle name (s), and surname (s) of the beneficial owner;
- (c) state address including the building number and, if a multi-unit building, unit number;
- (d) state the nationality;
- (e) state the occupation/calling. In the case of a natural person who has no business occupation state "Not applicable" or "N/A";
- (f) state telephone number (s);
- (g) state email address;
- (h) state nature of beneficial interest; and
- (i) where the person is a beneficial owner, state the form of identification and identification number that will be attached to the application

Item 7.2

In respect of a party to the trust that is a trust or other form of legal arrangement, the particulars of the beneficial owner (s) of that trust or other form of legal arrangement -

- (a) state first name(s), middle name(s), and surname(s) of the beneficial owner
- (b) state full address, including the building number and, if a multi-unit building, unit number;
- (c) state the nationality;
- (d) state the occupation/calling. In the case of a natural person who has no business occupation state "Not applicable" or "N/A";
- (e) state telephone number (s);
- (f) state email address;
- (g) state nature of beneficial interest; and
- (h) where the person is a beneficial owner, state the form of identification and identification number that will be attached to the application.

Item 7.3

Individual (s) who are not parties but are beneficial owners of the trust/legal arrangement -

- (a) state first name(s), middle name(s), and surname(s) of the beneficial owner;
- (b) state address including the building number and, if a multi-unit building, unit number;
- (c) state the nationality;
- (d) state the occupation/calling. In the case of a natural person who has no business occupation state "Not applicable" or "N/A";
- (e) state telephone number(s);
- (f) state email address;
- (g) state nature of beneficial interest; and
- (h) where the person is a beneficial owner, state the form of identification and identification number that will be attached to the application.

Signature -

The trustee or administrator should date the form, state his first name(s) and surname(s), his title and sign the application.

REPUBLIC OF TRINIDAD AND TOBAGO

THE TRUSTEE ORDINANCE, CH. 4 OF 1939

[Section 10D(15)]

FORM 6

CERTIFICATE OF RESTORATION OF A TRUST/LEGAL ARRANGEMENT

Registration Number

I hereby certify that the trust or form of legal arrangement described below, was restored to the register pursuant to section 10D (15) of the Trustee Ordinance, Ch. 4 of 1939.

[NAMES] AND [ADDRESSES] OF [TRUSTEE(S)*/ADMINISTRATOR(S)*]

Registrar General

Date of Restoration

SCHEDULE II*(Regulation 4)***TABLE OF FEES**

COLUMN 1	COLUMN 2	COLUMN 3
1.	FILING APPLICATION FOR REGISTRATION OF A TRUST/LEGAL ARRANGEMENT	\$60.00
2.	CERTIFICATE OF REGISTRATION OF A TRUST/LEGAL ARRANGEMENT	\$40.00
3.	FILING OF A RETURN OF BENEFICIAL OWNERSHIP	\$40.00
4.	FILING APPLICATION FOR RESTORATION	\$200.00
5.	CERTIFICATE OF RESTORATION	\$40.00
6.	FILING APPLICATION FOR REGISTRATION OF A TRUST/LEGAL ARRANGEMENT	\$60.00
7.	ANY OTHER DOCUMENT REQUIRED TO BE FILED AND ANY OTHER CERTIFICATE REQUIRED TO BE ISSUED.	\$40.00

Made this 15th day of November, 2024.

R. ARMOUR
*Attorney General and
Minister of Legal Affairs*